Preclinical and CMC Development

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Pillars of Traditional INDs

Clinical Protocol
Subject must not be
exposed to
unnecessary

risks

IND/SAFETY

Types:

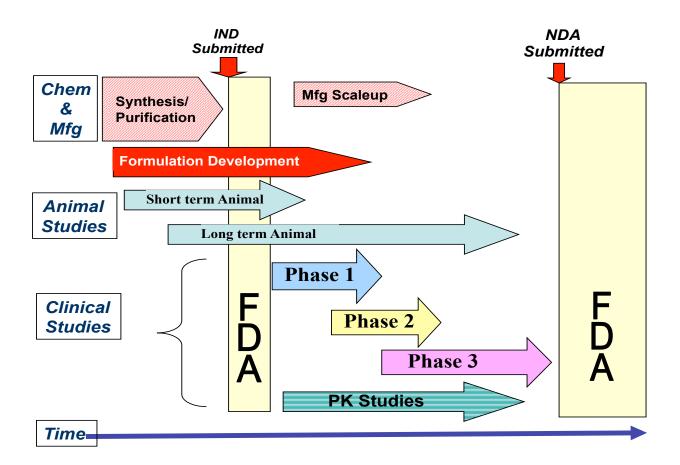
Investigator, commercial, traditional, treatment, exploratory,, parallel track, emergency-use

CMC

CMC procedures
ensure that the drug is
adequately
reproducible and
stable

Preclinical/Other Data

Adequate evidence that the drug is "reasonably" safe for administration to humans



Timeline

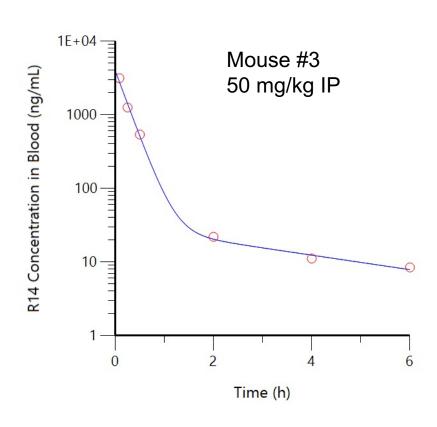
 When do I need to consider a dosage formulation of my novel compound? Do I file patent protection for my formulation?

 When do I need to evaluate ADME in vitro? Why bother with PK? What information would PK/PD studies provide? To what extend do I need to do PK, mouse, rat, primates?

 What and when do I prepare Chemistry, Manufacturing and Control (CMC)?

Case #1 – Dosage Formulation Matters

| | Mouse 3 (new formulation | Mouse 1 (original formulation) | Mouse 2 (original formulation) |
|--------|--------------------------------|--------------------------------------|--------------------------------------|
| 5 min | 3130 | 114 | 1050 |
| 15 min | 1250 | 24.9 | 51.9 |
| 30 min | 537 | - | - |
| 45 min | - | 18.6 | 29.5 |
| 2h | 21.9 | n.d. | 31.3 |
| 4h | 11.1 | 157 | n.d. |
| 6h | 8.41 | n.d. | 34.7 |



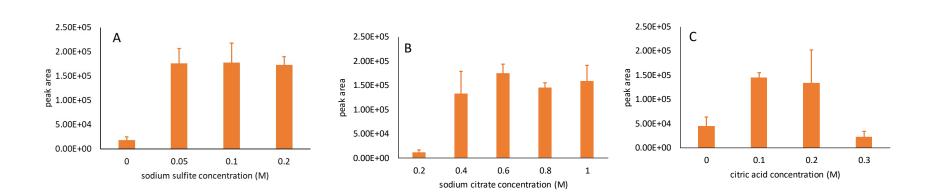
Original Formulation: Drug precipitates in aqueous media New Formulation: Co-solvent, drug soluble in aqueous media

Case #2 – Drug Stability

Development an stability indicating LC-MS/MS assay for PK studies

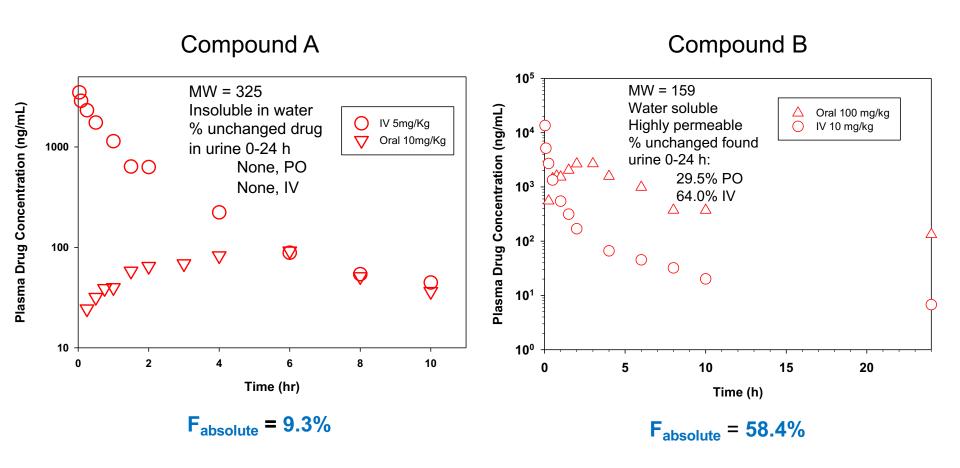
PK blood samples were stored at -70°C freezer

| | With preservative (n=3) | Without preservative (n=3) | |
|---------------------------------|------------------------------------|------------------------------------|--|
| Nominal concentration - (ng/mL) | Observed concentration (mean ± SD) | Observed concentration (mean ± SD) | |
| 3 | 3.32±0.26 | <1 | |
| 50 | 50.63±2.19 | 5.52±1.18 | |
| 800 | 832.75±58.49 | 114.8±30.3 | |



Preservative: 0.2 M sodium sulfite, 0.8 M sodium citrate, and 0.1 M citric acid

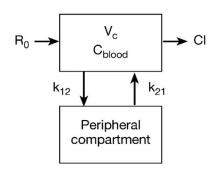
Case #3: Oral Bioavailability

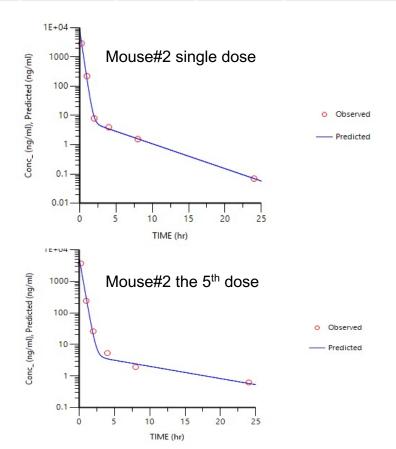


Case #4 - PK in Early Development Phase

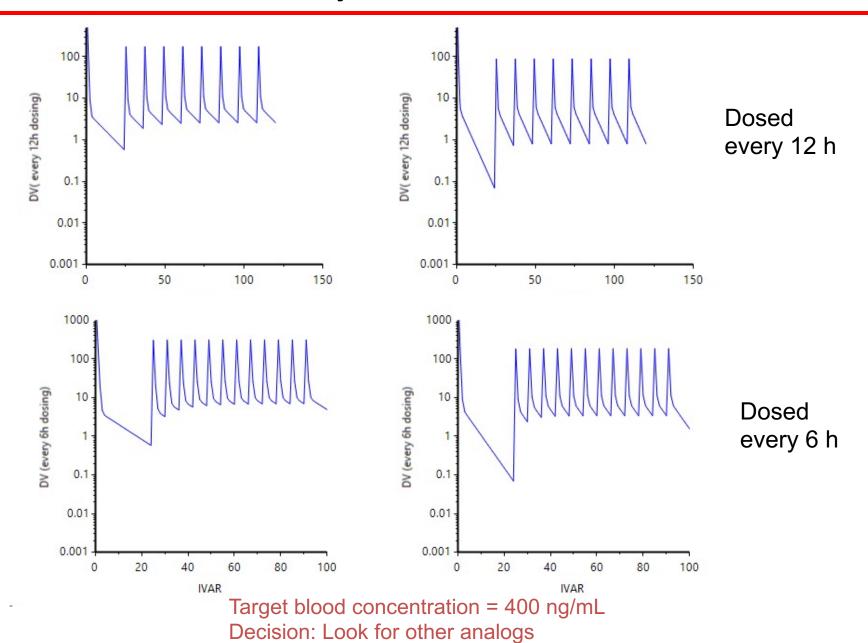
| | 5 days treatment | | | 24 hour treatment | | | | |
|---------------|------------------|---------------|---------------|-------------------|---------------|---------------|---------------|-------------------|
| Sampling time | M4 (ng/mL) | M5 (ng/mL) | M6 (ng/mL) | Mean <u>+</u> SD | M1 (ng/mL) | M2 (ng/mL) | M3 (ng/mL) | Mean <u>+</u> SD |
| 15min | 2048 | 3680 | 3464 | 3064 <u>+</u> 887 | 2616 | 2912 | 2128 | 2552 <u>+</u> 396 |
| 1 HR | 221 | 241 | 122 | 195 <u>+</u> 64 | 132 | 221 | 266 | 206 <u>+</u> 68 |
| 2 HR | 37.7 | 26.1 | 14.2 | 26 <u>+</u> 12 | 25.8 | 7.97 | 37.3 | 24 <u>+</u> 15 |
| 4 HR | 5.97 | 5.36 | 6.68 | 6 <u>+</u> 0.7 | 3.1 | 3.97 | 9.85 | 5.6 <u>+</u> 3.7 |
| 8 HR | 1.76 | 1.94 | 1.97 | 1.9 <u>+</u> 0.1 | 2.17 | 1.56 | 1.75 | 1.6 <u>+</u> 0.3 |
| 24 HR | 0.61 | 0.63 | 0.6 | | ND | ND | ND | |

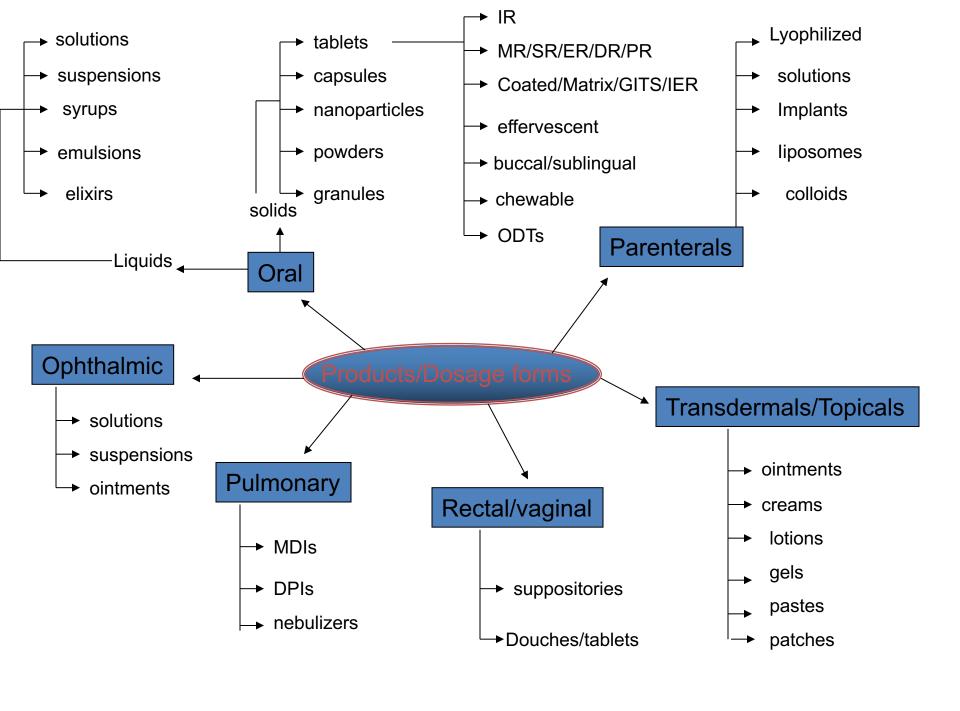
| Parameter | Units | CIDD150184 5Day | CIDD150184 24hour |
|------------------|----------|--------------------|----------------------|
| Number of Mice | | 3 | 3 |
| AUC | hr*ng/ml | 1765 ± 526 | 1682 ± 417 |
| C _{max} | ng/ml | 5600 ± 3154 | 5420 ± 2515 |
| Alpha_HL | hr | 0.24 <u>+</u> 0.08 | 0.23 <u>+</u> 0.06 |
| Beta_HL | hr | 7.60 ± 0.87 | 4.39 ± 0.74 |
| CL | ml/hr | 341.3 ± 120.7 | 345.4 ± 75.4 |
| V _c | ml | 130.9 ± 86.6 | 119.3 ± 53.5 |
| V ₂ | ml | 127.6 ± 91.0 | 58.6 ± 32.3 |
| K ₁₂ | 1/hr | 0.09 <u>+</u> 0.02 | 0.08 <u>+</u> 0.02 |
| K ₂₁ | 1/hr | 0.1 <u>+</u> 0.01 | 0.17 <u>+</u> 0.03 |



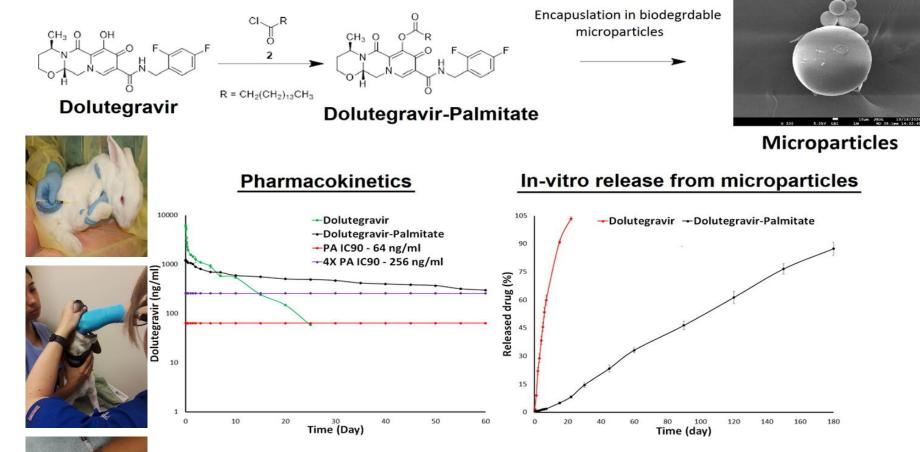


Steady-State Prediction





Prodrug Synthesis and Microparticles Preparation



Enhancing solubility

Maximum Solubility of Gallium Maltolate at 37°C, after which it precipitates

| Solvent | Ratio | Amount (mg) Volume (mL) | Solubility (mg/mL) | |
|---------------------|---------|-------------------------|--------------------|------|
| EOH: water | (1:1) | 145.9 | 4 | 36.5 |
| EOH: water | (2:1) | 113.8 | 3 | 37.9 |
| EOH: water | (1:2) | 115.2 | 3 | 38.4 |
| PG: EOH: water | (1:1:1) | 123.3 | 3 | 41.1 |
| PG: EOH: water | (1:2:1) | 165.6 | 4 | 41.4 |
| PG: EOH: 0.1 N NaOH | (1:1:1) | 109.5 | 3 | 36.5 |

Approaches used to enhance solubility: Micro/nanosizing, surfactants, cosolvents, inclusion compounds, and others